

## Frequently Asked Questions (FAQs) about MCEX – 20230727

### a) MCEX and DROs

#### 滴灌通（澳門）金融資產交易所和每日收入分成 (DROs)

#### 1. What is MCEX and Daily Revenue Obligations (“DROs”)? How does it work?

什麼是滴灌通（澳門）金融資產交易所和每日收入分成 (“DROs”)？它是如何運作的？

MCEX is a licenced exchange based in Macau that connects institutional capital with micro and small businesses using proprietary market infrastructure and technology through listing and trading of Daily Revenue Obligations (“DROs”).

DROs are tradable and standardized instruments on MCEX with corresponding economic and legal entitlements to shares of top-line revenue from chain stores. Key terms including principal investment amount, percentage of daily revenue shares, period of revenue sharing and other key parameters on cash flows.

The Exchange provides direct, diversified and granular access to a broad spectrum of quality brick-and-mortar stores in China’s consumer economy, covering Food & Beverage, Retail, Services, and Culture & Sports with close to 200 sub-sectors as of today.

滴灌通澳交所是一家位於澳門的監管持牌交易所。我們基於創新的金融基礎設施，為全球投資者提供 DROs 的挂牌及交易服務，以連接國際資本與小微經濟。

DROs 為滴灌通澳交所可交易的標準化產品，對應國內消費經濟小微門店在經濟和法律上的收入分成權益，關鍵條款包括投資金額、每日收入分成比例（%）和分成期限、及其他和每日現金流相關的主要數據。

滴灌通澳交所為全球投資者提供直接且多元化的投資機會，全面覆蓋消費領域四大賽道（餐飲、零售、服務和文體）的實體門店，橫跨近 200 個業態、品類。

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### b) Membership

#### 會員

#### 1. Who is eligible to become a member now?

目前會員的要求是什麼？

Guided by regulatory requirement in Macau, we are currently open to Regulated Financial Institutions to become MCEX members.

根據澳門的監管要求，我們目前只開放給受監管金融機構會員申請。

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**2. Do I qualify as a regulated financial institution investor?**

請問我如何判斷是否為受監管的金融機構投資者？

The institution will qualify as a **Regulated Financial Institution** if the institution is regulated and meets the criteria below:

- a) a regulated financial institution that carries on the business of the provision of investment services in Macao or other jurisdictions;
- b) a regulated banking institution in Macao or other jurisdictions;
- c) a regulated insurance company in Macao or other jurisdictions;
- d) a regulated pension fund management company in Macao or other jurisdictions;
- e) a regulated collective investment scheme in Macao or other jurisdictions;

滴灌通澳交所會員主體“**受監管金融機構**”的定義為：

- a) 在澳門或其他司法管轄區提供投資服務的受監管金融機構；
- b) 在澳門或其他司法管轄區的受監管銀行機構；
- c) 在澳門或其他司法管轄區的受監管保險公司；
- d) 在澳門或其他司法管轄區註冊的受監管退休基金管理公司；
- e) 在澳門或其他司法管轄區的受監管集體投資計劃；

**3. Why do I have to go through the Know Your Customer (“KYC”) and Anti-Money Laundering (“AML”) checks to gain access to the platform?**

為什麼我必須通過“了解您的客戶” (“KYC”) 和打擊洗錢 (“AML”) 審查才能進入該平台？

As part of our obligations to the Monetary Authority of Macao, we are required to conduct KYC and AML checks to prevent money laundering and other abuses from occurring on the MCEX platform.

根據澳門金融管理局的規則，我們需要進行 KYC 和 AML 審查以防止在滴灌通澳交所平台上的洗錢和其他濫用行為發生。

**4. What are the requirements for originals or certified true copies?**

原版或核證真實副本的要求是什麼？

Please note all KYC documents listed under the class of members are required and must either be **originals or certified true copies**:

所有提供的 KYC 文件必須是**原版或核證真實副本**的。

**CERTIFICATION OF DOCUMENTS 文件鑑證**

- Documents must be in one of the following formats - .pdf, .png, .jpg, .jpeg 文件必須是以下格式: pdf, .png, .jpg, .jpeg
- Should be visible in full, with all four corners captured (in case it is a photo/scan) 文件必須是清晰和正確的格式 (可以是照片或掃描)

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- All the KYC documents should be clearly visible & in certified true copies 全部背景調查文件必須清晰和核證真實副本的。
- Certification requirement: Documents that require certification should be certified by the professional below:

文件鑑證要求：文件需要由以下專業人士核證：

- Certified public accountant 註冊會計師
- Lawyer 律師
- Chartered secretary (i.e., current member of The Chartered Governance Institute) 特許秘書
- A member of the judiciary 司法人員
- Board of Directors/Managers of the Regulated Financial Institutions (**Only applicable for Regulated Financial Institutions**) 受監管機構的董事或經理(只適用於受監管金融機構)
- Notary Public 公證人

In addition, the following should be observed: 另外，以下證書是是需要被審查：

- The certifier to clearly state his/her name and capacity 證明者詳細證明他/她的名字和職位
- The certifier to state that the document is a True Copy of the original (or words to similar effect) 證明者證明文件是正本之真實副本
- The certification to be completed within 3 months from the submission date 證書要在截至日期前三個月內提交

#### SAMPLE of certification documents 證明文件樣本:



#### 5. Is there any other requirement for signing up an account with MCEX? 註冊滴灌通澳交所賬戶還有其他要求嗎？

All applications will be subjected to our Know Your Customer (KYC), Anti-money Laundering (AML) processes and any local regulatory requirements in respective jurisdictions.

所有申請都需遵守我們的 KYC、AML 流程以及各自司法管轄區當地的監管要求。

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**6. What is the minimum age to sign up for an account to be an investor with MCEX?  
註冊滴灌通澳交所賬戶投資者的最低年齡是多少？**

The minimum age to sign up for an account would be 18 years old and above.  
註冊帳戶的最低年齡為 18 歲及以上。

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**c) Membership Application  
會員申請**

**1. How do I sign up?  
如何註冊？**

**Follow these steps to set up an account:  
請按照以下步驟註冊帳戶：**

- I. Click the “Become a member” icon and sign up by selecting appropriate “Professional Investor” type.
  - II. Fill up the relevant account opening information and upload the required documents.
  - III. Upon submission of your application, our team will conduct a KYC (Know Your Customer) and AML (Anti-Money Laundering) check. You (The registered email) will be notified on the results of your application via email. For successful applications, you’ll be able to sign in right away upon receiving the email with an OTP.
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- I. 點擊“成為會員”圖標，選擇合適的“專業投資者”類型進行註冊。
  - II. 填寫相關開戶資料並上傳所需文件。
  - III. 當您提交申請後，我們的團隊將進行 KYC 和 AML 審查。您（註冊郵箱）將會通過電子郵件收到申請結果通知。對於成功的會員申請，您將收到一次性密碼的電子郵件並可以立即登錄。

**2. Why have I not received my Verification Code?  
為什麼我沒有收到我的驗證碼？**

The verification code will be sent to your email address. If you do not receive your verification code for the first time, you may request a new verification code by clicking on the “获取验证码” button. If you are still encountering issues, please reach out to us at [memberservices@mcex.mo](mailto:memberservices@mcex.mo).

驗證碼將會發送至您的電郵地址。如果您首次沒有收到驗證碼，您可以點擊“获取验证码”按鈕以獲得新的驗證碼。如果您仍然遇到問題，請通過 [memberservices@mcex.mo](mailto:memberservices@mcex.mo) 與我們聯繫。

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**3. Which section of the form is a MUST filled?**

表格裡哪一部分是必須填寫？

Areas with a symbol, “\*” of the form are MUST filled.

表格裡的標註“\*”必須填寫。

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**d) Post-Application**

成功申請後

**1. How do I login to my account after signing up as a member successfully?**

註冊成為會員成功後如何登錄我的賬戶？

- 1) Click “Log In” on the landing page.
- 2) Insert your login name and OTP password.
- 3) You’ll have to change your password on first time login

- 1) 在登陸頁面點擊“登錄”。
- 2) 輸入您的登錄名字和一次性密碼。
- 3) 首次登录后需先修改密碼

**2. Why am I unable to login after receiving the email saying that my account is verified?**

為什麼我仍然無法登錄即使已經收到郵件說帳號已通過驗證？

You may email to MCEX team [memberservices@mcex.mo](mailto:memberservices@mcex.mo) to check your account status.  
您可以發送郵件至滴灌通澳交所團隊 [memberservices@mcex.mo](mailto:memberservices@mcex.mo) 查看您的賬戶狀態。

**3. When do I need to tell MCEX my profile information has changed?**

如何通知滴灌通澳交所關於我的個人資料已更改？

You can write to us at [memberservices@mcex.mo](mailto:memberservices@mcex.mo) and provide information regarding any changes in circumstances that may cause any of your information to be incomplete or inaccurate.

您可以聯絡我們 [memberservices@mcex.mo](mailto:memberservices@mcex.mo) 並提供有關可能導致您的任何資料不完整或不準確的情況變化信息。

**4. How to contact MCEX?**

如何聯繫滴灌通澳交所？

For General Enquiry, please contact us at:

- Email Address: [info@mcex.mo](mailto:info@mcex.mo)
- Phone Number/Toll Free Number (Office hours: Monday to Friday - 9:30am to 6:00pm):

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- Hong Kong: +852 26680268
- Macao: 0800736
- China: 4008424302
- Japan: 00531-85-0200
- Singapore: 800-8528-659
- Taiwan: 801857177
- UK: 8082341170
- USA: 1-833-552-0552
- Saudi Arabia: 8008851262

一般查詢，請聯繫我們：

- 電郵地址: [info@mcex.mo](mailto:info@mcex.mo)
- 聯絡號碼/免費電話：(週一至週五，上午 9:30 至下午 6:00)
  - 香港：+852 26680268
  - 澳門：0800736
  - 中國：4008424302
  - 日本：00531-85-0200
  - 新加坡：800-8528-659
  - 台灣：801857177
  - 英國：8082341170
  - 美國：1-833-552-0552
  - 沙地阿拉伯：8008851262